



THE MINNESOTA BOARD OF ACCOUNTANCY

BOARD MEMORANDUM

January 18, 2013

TO: All Board Members
Christopher Kaisershot, Assistant Attorney General

FROM: Kathleen K. Mooney, CPA, Board Chair

SUBJECT: **JANUARY 18, 2013, BOARD MEETING MINUTES**
GOLDEN RULE BUILDING, Suite 295 (2nd floor)
9:25 AM

The Board held its regularly scheduled monthly meeting on the above-mentioned date, time and location.

1) CALL TO ORDER

Michael M. Vekich, CPA, Board Chair
Kristine S. Eustice, Public Member
Sharon Jensen, CPA
Thomas Lydon, CPA (**ABSENT**)
Kathleen Mooney, CPA
Robert Saunders, CPA
Mark Sellner, CPA
Gregory Steiner, CPA
Scott Van Binsbergen, Public Member

OTHERS:

Christopher Kaisershot, Assistant Attorney General
Doreen Frost, Executive Director
Bev Carey, Investigator
Laurie Nistl, Board Staff
Katherine Howe, Board Staff

GUESTS:

Betsy Adrian, Minnesota Society of CPAs
Geno Fragnito, Minnesota Society of CPAs
Eric Ewald, Minnesota Association of Public Accountants

2) APPROVAL OF THE NOVEMBER 13, 2012 BOARD MEETING MINUTES
A) **MSP: to Approve the November 13, 2012 meeting minutes.**

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- 3) COMPLAINT COMMITTEE REPORT (Robert Saunders, Committee Chair)
The Complaint Committee requests a closed session to deal with disciplinary matters.
- A) **MSP: to close session to address enforcement action under Minnesota Statutes 214 (2012).**
 - B) **MSP: to reopen the meeting.** The Board issued the following Orders:
 - 1. In the Matter of Michael J. Havala, CPA Certificate No. 09276, the Board issued a Stipulation and Consent Order with Order to Reinstatement.
 - 2. In the Matter of Dean William Hensel, CPA Certificate No. 25108, the Board issued a Stipulation and Consent Order with Order to Reinstatement.
 - 3. In the Matter of James. R. Blackman, CPA Certificate No. 19891, the Board issued a Stipulation and Consent Order with Order to Reinstatement.
 - C) There was an administrative hearing judge at a complaint hearing; there may be an expense for the Board.
- 4) EXECUTIVE COMMITTEE REPORT (Michael M. Vekich, Board Chair)
- A) None
- 5) EXECUTIVE DIRECTOR'S REPORT (Doreen Frost, Executive Director)
- A) Budget Report – Discussed.
 - B) 2014-2015 Biennial Budget Submission - Discussed.
 - C) Report on Renewals.
 - D) Introduction of Katherine Howe, full-time temporary staff person for the Board of Accountancy.
 - E) Recently testified with Sharon Jensen at the House of Representatives Committee on Jobs and Economic Development Finance and Policy, giving an agency overview.
 - F) Met with the new Executive Budget Officer (EBO).
- 6) EXAMINATION & CREDENTIALING COMMITTEE MEETING REPORT (Sharon A. Jensen, Committee Chair)
- A) Approved eighty-nine Minnesota candidates, five transfer candidates, nine reciprocal candidates. Granted one petition for waiver and one was put on hold.
 - B) **MSP: to Approve and Adopt Committee recommendations.**
- 7) CONTINUING PROFESSIONAL EDUCATION COMMITTEE REPORT (Gregory S. Steiner, Committee Chair)
- A) Approved three petitions for waiver from Board of Accountancy Rules. Denied thirty-seven petitions for waiver from Board of Accountancy Rules. Two petitions were sent for a different course of action.
MSP: to Approve and Adopt Committee recommendations.

- 8) LEGISLATIVE AND RULES COMMITTEE REPORT (Michael M. Vekich, Committee Chair)
 A) 2013 BOA Legislation – Discussion.
- 9) FIRM CREDENTIALING COMMITTEE REPORT (Robert Saunders, Committee Chair)
 A) Approved nineteen Minnesota firm permits, two foreign firm permits, thirty-four firm name change requests, and nineteen quality review reports.
MSP: to Approve and Adopt Committee recommendations.
 B) Possible Rules change discussion.
- 10) QUALITY REVIEW OVERSIGHT COMMITTEE REPORT (Robert Saunders, Committee Chair)
 A) The name of Mr. Thomas Batina was placed in nomination for a member of the Quality Review Oversight Committee (QROC).
MSP: to Approve and Adopt Committee recommendation.
 B) One opening remains on the QROC Committee.
- 11) UNFINISHED BUSINESS
 A) None
- 12) NEW BUSINESS
 A) The newsletter is formatted and almost complete. Postcards will be sent out next week directing their attention to the newsletter on the Board's website.
 B) CPA Verify report – Discussion.
 C) NASBA Annual Conference for Executive Directors in Tucson, AZ, (March 3-6, 2013) - Authorize attendance of Executive Director.
MSP: to authorize sending the Executive Director to the NASBA Annual Conference for Executive Directors.
 D) NASBA Vice-Chair Nominations:
 I) Walter Conaway Davenport
 II) Theodore W. Long, Jr.
 III) Kathleen J. Smith
 IV) Janice L. Gray
 V) Kenneth R. Odom
 A motion was made to support Theodore Long. The motion was withdrawn. Discussion held.
 E) New IRS rules on taxpayer confidentiality in tax practice – Report by Mark Sellner.
 F) Candidate fees for the Uniform CPA Examination during 2013-2015 reviewed.
 G) Election of Board officers
 I) Nominations for 2013 Executive Committee were:
 - Kathleen K. Mooney for Chair
 - Robert P. Saunders for Vice Chair

- Sharon A. Jensen and Gregory S. Steiner for Secretary/Treasurer

II) Election:

- Kathleen K. Mooney was elected Chair by acclamation.
- Robert P. Saunders was elected Vice Chair by acclamation.
- Gregory S. Steiner was elected Secretary/Treasurer.

13) ADJOURNED: 10:35 a.m.

Next meeting is **February 14, 2013**.

Gregory S. Steiner, CPA
Secretary/Treasurer